1 THE HONORABLE ROBERT S. LASNIK 2 3 4 5 6 UNITED STATES DISTRICT COURT WESTERN DISTRICT OF WASHINGTON 7 AT SEATTLE 8 SHANNON SPENCER, individually and on Case No. 2:23-cv-01767-RSL 9 behalf of all others similarly situated, STIPULATION AND ORDER TO STAY 10 Plaintiff, PROCEEDINGS PENDING 11 **MEDIATION** v. 12 BNY MELLON SECURITIES 13 CORPORATION, a foreign profit corporation; BANK OF NEW YORK 14 MELLON, a foreign bank corporation; and DOES 1-10, 15 16 Defendants. 17 18 **STIPULATION** Defendants BNY Mellon Securities Corporation and The Bank of New York Mellon, on 19 the one hand, and Plaintiff Shannon Spencer, on the other hand, hereby STIPULATE AND 20 AGREE to stay the proceedings pending mediation. Pursuant to LCR 16(b)(6), there is good cause 21 to modify the scheduling order because the parties have agreed to mediate this case before a third-22 party mediator. Staying the case will save the Court's resources while the parties attempt to resolve 23 the matter. 24 25 26 MORGAN, LEWIS & BOCKIUS LLP

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ATTORNEYS AT LAW

This stipulation and order shall not operate as an admission of any factual allegation or 1 legal conclusion, nor shall it operate as a waiver nor otherwise affect any right, defense, claim or 2 3 objection. The parties will provide the Court with a status report within 120 days after the entry of 4 5 this stipulation, or at such other time as the Court directs. 6 7 IT IS SO STIPULATED. 8 Dated this 5th day of December, 2023. 9 **EMERY | REDDY, PLLC** MORGAN, LEWIS & BOCKIUS LLP 10 By: s/ Patrick B. Reddy By: s/ Damon C. Elder 11 Damon C. Elder, WSBA No. 46754 Timothy W. Emery, WSBA No. 34078 Patrick B. Reddy, WSBA No. 34092 Claire M. Lesikar, WSBA No. 60406 12 Paul Cipriani, WSBA No. 59991 1301 Second Avenue, Suite 2800 13 600 Stewart Street, Suite 1100 Seattle, WA 98101 Phone: (206) 274-6400 Seattle, WA 98101 14 Phone: (206) 442-9106 Email: damon.elder@morganlewis.com Email: emeryt@emeryreddy.com claire.lesikar@morganlewis.com 15 reddyp@emeryreddy.com paul@emeryreddy.com 16 Attorneys for Defendants 17 Attorneys for Plaintiff 18 19 20 21 22 23 24 25 26

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ORDER 1 2 GOOD CAUSE APPEARING, IT IS SO ORDERED. DATED: December 6, 2023. 3 4 5 MMS (asuik Robert S. Lasnik 6 7 United States District Judge 8 Presented by: 9 MORGAN, LEWIS & BOCKIUS LLP 10 11 By: s/ Damon C. Elder Damon C. Elder, WSBA No. 46754 12 Claire M. Lesikar, WSBA No. 60406 1301 Second Avenue, Suite 2800 13 Seattle, WA 98101 Phone: (206) 274-6400 14 Email: damon.elder@morganlewis.com 15 claire.lesikar@morganlewis.com 16 Attorneys for Defendants 17 **EMERY | REDDY, PLLC** 18 By: *s/Patrick B. Reddy* 19 Timothy W. Emery, WSBA No. 34078 Patrick B. Reddy, WSBA No. 34092 20 Paul Cipriani, WSBA No. 59991 21 600 Stewart Street, Suite 1100 Seattle, WA 98101 22 Phone: (206) 442-9106 Email: emeryt@emeryreddy.com 23 reddyp@emeryreddy.com 24 paul@emeryreddy.com 25 Attorneys for Plaintiff 26 STIPULATION AND ORDER - 3

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